EXHIBIT 10

December 13, 2012 69 - 72

DA	RIOLETTIVS. CITIONOUP INC.		09-12
1	Page 69 M. A. FEINSTEIN		Page 71
1 2	amount of overtime that may have been worked?	1 2	M. A. FEINSTEIN in the process?
3	MR. BATTAGLIA: Objection.	3	A. It depends upon the situation.
4	A. Yes.	4	Q. Give me an example of a situation
5	Q. And you don't recall do you	5	in which you are involved in the process.
6	recall conveying bonus comp numbers regarding	6	MR. BATTAGLIA: Other than
7	Matt Chin or Brittany Sharpton to Tom Green?	7	analysts and associates in his group?
8	MR. BATTAGLIA: Objection.	8	MR. DATOO: Yes.
9	A. No.	9	A. When there was a RIF and managers
10	Q. Would there have been a reason for	10	weren't around, I was asked to do that.
111	you to convey bonus comp to any of the group	11	Q. Asked to do what?
12		12	
13	MR. BATTAGLIA: Objection.	13	
14	A. No.	14	Q. Do you participate in the
15	Q. Do you play a role in promoting	15	decision-making process for termination?
16	analysts and associates?	16	A. No.
17	A. Not really.	17	 Q. And these are all questions that
18	Q. What do you mean by "not really"?	18	don't involve analysts or associates in your
19	 A. The product groups request it and 	19	group.
20	•	20	
21	these days.	21	Q. So you don't participate in
22	Q. How about in 2008 and prior?	22	
23	A. I'm not sure if it was Frank Chin	23	
24	and David Brownstein, or just Frank Chin.	24	, , ,
25	Q. Do you play any role?	25	A. No. I wish, but, no. I have it
	Page 70 M. A. FEINSTEIN	1	Page 72
1 2		2	M. A. FEINSTEIN on the list.
3	A. Only in the analysts that work for me directly, my product group.	3	Q. Do you know who Mike Murad is?
4	Q. Would you be copied on e-mails	4	A. Mike Murad?
5	A. Sure.	5	Q. Yes.
6	Q for promotions.	6	A. No.
7	Why is that?	7	Q. Do you know if there was a Mike
.	A The englyst program is a two year	0	Murad I don't know if I'm propouncing his

- A. The analyst program is a two-year 9 program for option for a third year, and then 10 after a third year, people can potentially be 11 promoted. I typically send out e-mails to the 12 product group managers and the coordinators to 13 tell them that they have an analyst that is 14 potentially up for promotion and should they 15 be promoted. 16 Q. So is it fair to say that you just
- 17 kind of facilitate --
- 18
 - A. Yes.
- Q. -- the process? 19
- 20 A. Yes.
- 21 Q. Do you play a role in firing
- 22 analysts and associates?
- 23 A. Clarify that.
- 24 Q. Are you involved, if an associate
- 25 or analyst is terminated, do you play a role

- 8 Murad -- I don't know if I'm pronouncing his
- 9 name right, M-U-R-A-D; do you know if there
- 10 was a Mike Murad in the housing group in 2008?
- MR. BATTAGLIA: Objection. 11
 - A. Can I see the spelling of his
- 13 name?

12

15

24

- 14 MR. BATTAGLIA: M-U-R-A-D. You
 - can write it down.
- A. Mike? 16
- 17 Q. Mike.
- 18 A. I don't recall. I don't recall.
- 19 Q. Do you know who Amy Bartoletti is?
- 20 Α. Yes.
- Q. Did you ever have a conversation 21
- 22 with Amy Bartoletti in which she mentioned
- 23 Mike Murad's name?
 - MR. BATTAGLIA: Objection.
- 25 A. I don't recall.



December 13, 2012 97–100

DARTOLLITI VS. OTHOROGOT IIVO.	
Page 9	Page 99 1 M. A. FEINSTEIN
	2 Q. I'm sorry?
	3 A. I never do. It's sad, but true.
· ·	4 Q. So other than this communication,
4 Q. Do you know why she was laid off?	5 referring to Plaintiffs' 190 with Mr.
5 A. I do not.	
6 Q. Did you ever know why she was laid	
7 off?	7 communications with Mr. Brownstein about Chia?
8 MR. BATTAGLIA: Objection.	8 A. I don't recall.
9 Q. In 2008, did you ever know why she	
10 was laid off?	10 Brittany Sharpton as a summer intern?
11 A. I don't recall.	11 A. I was told to hire her as a summer
12 Q. So you don't know why she was	12 intern.
13 selected and others weren't in her group?	13 Q. Who told you to hire her?
14 A. No.	14 A. I don't recall.
15 Q. Did Chia ever tell you that she	15 Q. Do you know why you were told to
16 wasn't happy in the housing group?	16 hire her?
17 A. During that conversation I had, it	17 A. I was told that she knew she
18 sounds like she was unhappy.	18 was a child of somebody or something of that
19 Q. Did she tell you that?	19 nature and that we should, for the summer
20 MR. BATTAGLIA: Objection.	20 program, we should have her come in.
21 A. I don't recall the exact wording.	Q. Is that because she came from a
22 Q. Did she tell you that she intended	22 prominent family?
23 to leave Citigroup?	23 A. I have no idea.
24 A. I don't remember.	24 Q. Does Citi usually
25 Q. Did you ever hear that she wanted	25 A. I don't even know what the
Page 9	Page 100
1 M. A. FEINSTEIN	1 M. A. FEINSTEIN
1 M. A. FEINSTEIN 2 to leave Citigroup?	1 M. A. FEINSTEIN 2 connection is.
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection.	 M. A. FEINSTEIN connection is. Q. Does Citi usually hire people who
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008?	 M. A. FEINSTEIN connection is. Q. Does Citi usually hire people who come from prominent families?
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember.	 M. A. FEINSTEIN connection is. Q. Does Citi usually hire people who come from prominent families? MR. BATTAGLIA: Objection.
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't	 M. A. FEINSTEIN connection is. Q. Does Citi usually hire people who come from prominent families? MR. BATTAGLIA: Objection. A. No, it is atypical that we do this
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group?	 M. A. FEINSTEIN connection is. Q. Does Citi usually hire people who come from prominent families? MR. BATTAGLIA: Objection. A. No, it is atypical that we do this particular hiring.
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me.	 M. A. FEINSTEIN connection is. Q. Does Citi usually hire people who come from prominent families? MR. BATTAGLIA: Objection. A. No, it is atypical that we do this particular hiring. Q. And you don't recall who told you?
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not.
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail.	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern?
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190.	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection.
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes.	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her.
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your 15 e-mail that she said she was unhappy?	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her. 15 Q. She went to Wharton, right?
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your 15 e-mail that she said she was unhappy? 16 A. It was in the tone of the	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her. 15 Q. She went to Wharton, right? 16 A. I don't know. I just know she
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your 15 e-mail that she said she was unhappy? 16 A. It was in the tone of the 17 responses I had. Those are not words from	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her. 15 Q. She went to Wharton, right? 16 A. I don't know. I just know she 17 went to University of Pennsylvania.
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your 15 e-mail that she said she was unhappy? 16 A. It was in the tone of the 17 responses I had. Those are not words from 18 happy person.	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her. 15 Q. She went to Wharton, right? 16 A. I don't know. I just know she 17 went to University of Pennsylvania. 18 Q. Is that a good thing?
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your 15 e-mail that she said she was unhappy? 16 A. It was in the tone of the 17 responses I had. Those are not words from 18 happy person. 19 Q. You don't recall her using those	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her. 15 Q. She went to Wharton, right? 16 A. I don't know. I just know she 17 went to University of Pennsylvania. 18 Q. Is that a good thing? 19 A. It's a good school.
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your 15 e-mail that she said she was unhappy? 16 A. It was in the tone of the 17 responses I had. Those are not words from 18 happy person. 19 Q. You don't recall her using those 20 exact words. Right?	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her. 15 Q. She went to Wharton, right? 16 A. I don't know. I just know she 17 went to University of Pennsylvania. 18 Q. Is that a good thing? 19 A. It's a good school. 20 Q. If she went to Wharton, that's a
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your 15 e-mail that she said she was unhappy? 16 A. It was in the tone of the 17 responses I had. Those are not words from 18 happy person. 19 Q. You don't recall her using those 20 exact words. Right? 21 MR. BATTAGLIA: Objection.	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her. 15 Q. She went to Wharton, right? 16 A. I don't know. I just know she 17 went to University of Pennsylvania. 18 Q. Is that a good thing? 19 A. It's a good school. 20 Q. If she went to Wharton, that's a 21 good thing. Right?
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your 15 e-mail that she said she was unhappy? 16 A. It was in the tone of the 17 responses I had. Those are not words from 18 happy person. 19 Q. You don't recall her using those 20 exact words. Right? 21 MR. BATTAGLIA: Objection. 22 A. No.	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her. 15 Q. She went to Wharton, right? 16 A. I don't know. I just know she 17 went to University of Pennsylvania. 18 Q. Is that a good thing? 19 A. It's a good school. 20 Q. If she went to Wharton, that's a 21 good thing. Right? 22 A. To me? It makes no difference to
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your 15 e-mail that she said she was unhappy? 16 A. It was in the tone of the 17 responses I had. Those are not words from 18 happy person. 19 Q. You don't recall her using those 20 exact words. Right? 21 MR. BATTAGLIA: Objection. 22 A. No. 23 Q. Did you think she was going to	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her. 15 Q. She went to Wharton, right? 16 A. I don't know. I just know she 17 went to University of Pennsylvania. 18 Q. Is that a good thing? 19 A. It's a good school. 20 Q. If she went to Wharton, that's a 21 good thing. Right? 22 A. To me? It makes no difference to 23 me.
1 M. A. FEINSTEIN 2 to leave Citigroup? 3 MR. BATTAGLIA: Objection. 4 Q. In 2008? 5 A. I don't remember. 6 Q. Did you ever hear that she wasn't 7 happy in the housing group? 8 A. She told me. 9 Q. Based on 10 A. Based on that e-mail. 11 Q. Just turn your attention back to 12 that e-mail, Plaintiff's Exhibit 190. 13 A. Yes. 14 Q. Does it say anywhere in your 15 e-mail that she said she was unhappy? 16 A. It was in the tone of the 17 responses I had. Those are not words from 18 happy person. 19 Q. You don't recall her using those 20 exact words. Right? 21 MR. BATTAGLIA: Objection. 22 A. No.	1 M. A. FEINSTEIN 2 connection is. 3 Q. Does Citi usually hire people who 4 come from prominent families? 5 MR. BATTAGLIA: Objection. 6 A. No, it is atypical that we do this 7 particular hiring. 8 Q. And you don't recall who told you? 9 A. I do not. 10 Q. Was she qualified to work at Citi 11 as a summer intern? 12 MR. BATTAGLIA: Objection. 13 A. She went to University of 14 Pennsylvania. That's all I know of her. 15 Q. She went to Wharton, right? 16 A. I don't know. I just know she 17 went to University of Pennsylvania. 18 Q. Is that a good thing? 19 A. It's a good school. 20 Q. If she went to Wharton, that's a 21 good thing. Right? 22 A. To me? It makes no difference to



December 13, 2012 101–104

	Page 101		Page 103
1	M. A. FEINSTEIN	1	M. A. FEINSTEIN
2	schools.	2	Q. Was her summer performance
3	Q. So you don't know if she was	3	evaluated?
4	qualified to work as a summer intern?	4	A. Yes.
5	A. No, I don't recall.	5	Q. And was there a written
	•	6	evaluation?
6	Q. But Citi wouldn't have hired	_	
7	someone who is not qualified to work as a	7	A. I do not do a summer review, so
8	summer intern. Right?	8	I'm not positive exactly how the summer review
9	MR. BATTAGLIA: Objection.	9	process goes since no summer people report to
10	 A. It depends. In theory they would. 	10	me directly.
11	If I was asked to hire somebody, then whoever	11	Q. Are there summer reviews
12	made that decision had their reasons.	12	conducted?
13	Q. Is there a document that would	13	MR. BATTAGLIA: Objection.
14	reflect who asked you to hire Brittany?	14	A. Yes.
15	A. I have no idea.	15	Q. In written form?
16	Q. Brittany was hired back as an	16	MR. BATTAGLIA: Objection.
17	analyst. Right?	17	A. Yes.
18	A. Yes. She was an analyst.	18	^ MR. DATOO: Request Ms.
19	Q. Well, in the summer program	19	Sharpton's summer review.
20	A. It is a summer analyst or a	20	Q. Why do you feel that Brittany was
21	regular analyst. Which one?	21	not qualified to work as a regular analyst
22	Q. A summer analyst.	22	based on her work as a summer intern?
23	A. Okay.	23	A. A member of our staff was pretty
24	Q. So all the questions I just asked	24	adamant at saying she wasn't qualified.
25	you was summer analyst.	25	Q. Who was that member?
25		25	
	Page 102		Dago 104
1	M A FEINICIEINI	1	Page 104
1	M. A. FEINSTEIN	1	M. A. FEINSTEIN
2	M. A. FEINSTEIN A. Yes.	2	M. A. FEINSTEIN A. Amy Bartoletti.
2 3	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a	2	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say?
2 3 4	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right?	2 3 4	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact
2 3 4 5	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst.	2 3 4 5	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones.
2 3 4 5 6	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been	2 3 4 5 6	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone?
2 3 4 5 6 7	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term.	2 3 4 5 6 7	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was
2 3 4 5 6 7 8	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay.	2 3 4 5 6 7 8	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified.
2 3 4 5 6 7 8 9	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that	2 3 4 5 6 7 8 9	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work
2 3 4 5 6 7 8 9 10	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are	2 3 4 5 6 7 8 9 10	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified.
2 3 4 5 6 7 8 9 10	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that	2 3 4 5 6 7 8 9 10 11	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her.
2 3 4 5 6 7 8 9 10 11 12	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes.	2 3 4 5 6 7 8 9 10 11 12	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her.
2 3 4 5 6 7 8 9 10	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi?	2 3 4 5 6 7 8 9 10 11	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group?
2 3 4 5 6 7 8 9 10 11 12	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes.	2 3 4 5 6 7 8 9 10 11 12	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall.
2 3 4 5 6 7 8 9 10 11 12 13	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular	2 3 4 5 6 7 8 9 10 11 12 13	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall. Q. Brittany was a summer intern in
2 3 4 5 6 7 8 9 10 11 12 13 14	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular analyst. Correct? A. That is correct.	2 3 4 5 6 7 8 9 10 11 12 13 14 15	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular analyst. Correct? A. That is correct. Q. Why was she hired back as a	2 3 4 5 6 7 8 9 10 11 12 13 14	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall. Q. Brittany was a summer intern in the housing group. Is that right? A. That is correct.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular analyst. Correct? A. That is correct. Q. Why was she hired back as a regular analyst?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall. Q. Brittany was a summer intern in the housing group. Is that right? A. That is correct. Q. And then she was hired as an
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular analyst. Correct? A. That is correct. Q. Why was she hired back as a regular analyst? A. Because I was told to hire her as	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall. Q. Brittany was a summer intern in the housing group. Is that right? A. That is correct. Q. And then she was hired as an analyst in the infrastructure group?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular analyst. Correct? A. That is correct. Q. Why was she hired back as a regular analyst? A. Because I was told to hire her as a regular analyst.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 6 17 18 19	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall. Q. Brittany was a summer intern in the housing group. Is that right? A. That is correct. Q. And then she was hired as an analyst in the infrastructure group? A. Correct.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 8 19 20	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular analyst. Correct? A. That is correct. Q. Why was she hired back as a regular analyst? A. Because I was told to hire her as a regular analyst. Q. Do you recall who told you that?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 7 18 19 20	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall. Q. Brittany was a summer intern in the housing group. Is that right? A. That is correct. Q. And then she was hired as an analyst in the infrastructure group? A. Correct. Q. Who decided to place her in the
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 8 9 20 21	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular analyst. Correct? A. That is correct. Q. Why was she hired back as a regular analyst? A. Because I was told to hire her as a regular analyst. Q. Do you recall who told you that? A. I don't recall exactly.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 8 19 20 21	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall. Q. Brittany was a summer intern in the housing group. Is that right? A. That is correct. Q. And then she was hired as an analyst in the infrastructure group? A. Correct. Q. Who decided to place her in the infrastructure group?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 21 22	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular analyst. Correct? A. That is correct. Q. Why was she hired back as a regular analyst? A. Because I was told to hire her as a regular analyst. Q. Do you recall who told you that? A. I don't recall exactly. Q. Was she qualified to work as a	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall. Q. Brittany was a summer intern in the housing group. Is that right? A. That is correct. Q. And then she was hired as an analyst in the infrastructure group? A. Correct. Q. Who decided to place her in the infrastructure group? A. Probably myself and Ranier
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 22 23	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular analyst. Correct? A. That is correct. Q. Why was she hired back as a regular analyst? A. Because I was told to hire her as a regular analyst. Q. Do you recall who told you that? A. I don't recall exactly. Q. Was she qualified to work as a regular analyst?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 1 22 23	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall. Q. Brittany was a summer intern in the housing group. Is that right? A. That is correct. Q. And then she was hired as an analyst in the infrastructure group? A. Correct. Q. Who decided to place her in the infrastructure group? A. Probably myself and Ranier Perkins.
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 21 22	M. A. FEINSTEIN A. Yes. MR. BATTAGLIA: Also known as a summer intern, right? A. Summer intern, summer analyst. MR. DATOO: Attorneys have been using that term. THE WITNESS: Okay. Q. I was just led into that terminology. Hopefully it is accurate. Are they also known as summer interns in Citi? A. Yes. Q. She was hired back as a regular analyst. Correct? A. That is correct. Q. Why was she hired back as a regular analyst? A. Because I was told to hire her as a regular analyst. Q. Do you recall who told you that? A. I don't recall exactly. Q. Was she qualified to work as a regular analyst? A. Not based on her summer	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	M. A. FEINSTEIN A. Amy Bartoletti. Q. What did Amy say? A. I don't remember the exact conversations. I just remember the tones. Q. What was Amy's tone? A. She didn't think that she was qualified. Q. To work A. And we shouldn't hire her. Q. To work in the housing group? A. It was never specifically said housing group that I recall. Q. Brittany was a summer intern in the housing group. Is that right? A. That is correct. Q. And then she was hired as an analyst in the infrastructure group? A. Correct. Q. Who decided to place her in the infrastructure group? A. Probably myself and Ranier



December 13, 2012 105-108

	Page 105		Page 107
1	M. A. FEINSTEIN	1	M. A. FEINSTEIN
2	A. We tried to fit people's skill	2	Q. So she could have been fired at
3	sets with what the groups needed.	3	any time. Correct?
4	Q. And that was a match with respect	4	A. Yes.
5	to Brittany's skill sets and the	5	Q. And would she have been fired if
6	infrastructure group?	6	her performance was weak?
7	A. The infrastructure group looks for	7	A. We try not to fire our analysts.
8	general type people.	8	Q. Now, as an analyst, are you
9	Q. And why wasn't she a good match	9	familiar with her work performance?
10		10	 A. She never did anything for me.
11	MR. BATTAGLIA: Objection.	11	Q. So you don't have any personal
12	· · · · · · · · · · · · · · · · · · ·	12	knowledge of her work performance?
13		13	A. Correct.
14	The state of the s	14	Q. Did you receive positive feedback
15		15	about her work performance?
16		16	A. I do not recall.
17	A. Quant skills.	17	Q. Do you recall evaluating her?
18	Q. Quantitative skills?	18	A. I must have, but I don't recall
19	A. Whatever, yes.	19	it.
20	Q. Do you need very strong	20	Q. Were you familiar with Matthew
21	quantitative skills in the housing group?	21	Chin's work performance as an analyst?
22	A. It definitely helps. It's a	22	 A. Same position. I do not know
23		23	personally of his work.
24	Q. And by placing her in the	24	Q. Do you recall filling out his
25	infrastructure group, you felt she could	25	evaluation form?
1	Page 106 M. A. FEINSTEIN	1	Page 108 M. A. FEINSTEIN
2			
4	succeed there?	2	 A. Same thing. I do not recall that.

3

6

9

10

11

12

17

18

19

20

3

12

13

- A. Yes.
- Q. Because she was strong in other 4 5 areas?
- 6 A. She was stronger in other areas. I don't remember her exact strengths and her 7 weaknesses. 8
- Q. So do you believe she was 10 qualified to work for Citi in the 11 infrastructure group?

MR. BATTAGLIA: Objection.

- A. She went through the training 14 program. I do not recall how she did on the 15 training program. We do not let people out if 16 they work good during the training program or 17 not, it doesn't matter. They have a two-year 18 contract and we will place them in an area 19 where we hope they will succeed.
- Q. When you say two-year program, 20 21 does that mean they are guaranteed to work for 22 two years?

23 MR. BATTAGLIA: Objection.

24 A. Actually, no, because it is an 25 employment-at-will.

- A. Same thing. I do not recall that.
- Q. Same questions with Alan Dockeray:
- 4 Were you familiar with his work performance?
 - A. What time period -- what was Alan Dockeray's title and the time period?
- 7 Q. I will represent to you that he was an analyst in 2008. 8
 - MR. BATTAGLIA: Class of 2008 I believe.
 - A. Class of 2008.
- So he would have been a first year 13 analyst, 2008.
- He didn't do anything for me 14 personally. He might have been on the same 15 project with me in another role. 16
 - Q. Do you recall when that was?
 - A. I don't remember. The project was in New York City. It would be financing.
- Q. When you say he would have been on a project with you, you mean in another role? 21
- A. I do the numbers portion of it. 22
- 23 He would be doing the presentations and the writing and the ongoing compliance and all the
- other things. So he would not have worked



December 13, 2012 113–116

	,
Page 113 1 M. A. FEINSTEIN	Page 115 1 M. A. FEINSTEIN
2 MR. BATTAGLIA: Objection.	2 comments about Brittany's work performance?
3 A. Because I just do.	3 MR. BATTAGLIA: Objection. You
4 Q. And do you recall Matt Chin's	4 may answer.
5 reviews being better than Brittany's?	5 A. No. I don't recall.
6 A. I don't remember.	6 Q. Do you know if she got along with
7 Q. In 2008, are there groups in the	7 her co-workers?
8 PFD that don't have an analyst at each level?	8 A. I do not recall no, I don't
9 A. You mean	9 know, actually.
10 Q. First year, second year, third	10 Q. Do you know if Matt Chin got along
11 year?	11 with his co-workers?
12 A. Yes.	12 A. I don't know that either.
13 Q. Are those groups?	Q. Did it ever come to your attention
14 A. In 2008?	14 that Matt Chin had a loud argument with a
15 Q. Yes.	15 co-worker?
16 A. I don't remember the exact	16 MR. BATTAGLIA: Objection.
17 staffing in 2008. If the group doesn't have	17 A. Yes.
18 three analysts or if they have two really good	18 Q. How was
19 ones, there is no way each group staffs	19 A. I recall that.
20 themselves differently.	20 Q. Do you know why that was brought
21 Q. Do groups need analysts at each	21 to your attention?
22 level?	22 A. Probably as the overhead person.
23 A. No.	23 Q. And who brought that to your
24 Q. Groups can function without	24 attention?
25 analysts at each level. Right?	25 A. I don't recall.
	25 A. I don't lecall.
Page 114	Page 116
1 M. A. FEINSTEIN	1 M. A. FEINSTEIN
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can.	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you?
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise?	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it.
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with?
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with?
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No.
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to 9 train your new first-year analysts. So if you 10 have one, two, three or something of that	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection.
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to 9 train your new first-year analysts. So if you 10 have one, two, three or something of that 11 nature, as long as you always have a third	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall.
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to 9 train your new first-year analysts. So if you 10 have one, two, three or something of that 11 nature, as long as you always have a third 12 year when a first year is coming in, you have	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to 9 train your new first-year analysts. So if you 10 have one, two, three or something of that 11 nature, as long as you always have a third 12 year when a first year is coming in, you have 13 someone to help train them.	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman?
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to 9 train your new first-year analysts. So if you 10 have one, two, three or something of that 11 nature, as long as you always have a third 12 year when a first year is coming in, you have 13 someone to help train them. 14 You always want to have at least	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't.
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to 9 train your new first-year analysts. So if you 10 have one, two, three or something of that 11 nature, as long as you always have a third 12 year when a first year is coming in, you have 13 someone to help train them. 14 You always want to have at least 15 one senior analyst, if you can do it. Some	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to 9 train your new first-year analysts. So if you 10 have one, two, three or something of that 11 nature, as long as you always have a third 12 year when a first year is coming in, you have 13 someone to help train them. 14 You always want to have at least 15 one senior analyst, if you can do it. Some 16 groups don't even have three analysts, and	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or 16 disciplined in any way?
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to 9 train your new first-year analysts. So if you 10 have one, two, three or something of that 11 nature, as long as you always have a third 12 year when a first year is coming in, you have 13 someone to help train them. 14 You always want to have at least 15 one senior analyst, if you can do it. Some 16 groups don't even have three analysts, and 17 they get caught in this position every year,	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or 16 disciplined in any way? 17 MR. BATTAGLIA: Objection.
M. A. FEINSTEIN A. Yes. It is not wise, but they can. A. Q. Why is it not wise? A. Because it is a merry-go-round where after the third year you lose an analyst, if you only have three third-year analysts, they all leave, you have no one to train your new first-year analysts. So if you have one, two, three or something of that nature, as long as you always have a third year when a first year is coming in, you have someone to help train them. You always want to have at least one senior analyst, if you can do it. Some groups don't even have three analysts, and they get caught in this position every year, or every time they need to train new	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or 16 disciplined in any way? 17 MR. BATTAGLIA: Objection. 18 A. Not that I know of.
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to 9 train your new first-year analysts. So if you 10 have one, two, three or something of that 11 nature, as long as you always have a third 12 year when a first year is coming in, you have 13 someone to help train them. 14 You always want to have at least 15 one senior analyst, if you can do it. Some 16 groups don't even have three analysts, and 17 they get caught in this position every year, 18 or every time they need to train new 19 first-year analysts.	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or 16 disciplined in any way? 17 MR. BATTAGLIA: Objection. 18 A. Not that I know of. 19 Q. Did you speak with Matt Chin about
1 M. A. FEINSTEIN 2 A. Yes. It is not wise, but they 3 can. 4 Q. Why is it not wise? 5 A. Because it is a merry-go-round 6 where after the third year you lose an 7 analyst, if you only have three third-year 8 analysts, they all leave, you have no one to 9 train your new first-year analysts. So if you 10 have one, two, three or something of that 11 nature, as long as you always have a third 12 year when a first year is coming in, you have 13 someone to help train them. 14 You always want to have at least 15 one senior analyst, if you can do it. Some 16 groups don't even have three analysts, and 17 they get caught in this position every year, 18 or every time they need to train new 19 first-year analysts. 20 Q. So your view of Brittany being "at	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or 16 disciplined in any way? 17 MR. BATTAGLIA: Objection. 18 A. Not that I know of. 19 Q. Did you speak with Matt Chin about 20 this?
M. A. FEINSTEIN A. Yes. It is not wise, but they can. Q. Why is it not wise? A. Because it is a merry-go-round where after the third year you lose an analyst, if you only have three third-year analysts, they all leave, you have no one to train your new first-year analysts. So if you have one, two, three or something of that nature, as long as you always have a third year when a first year is coming in, you have someone to help train them. You always want to have at least one senior analyst, if you can do it. Some groups don't even have three analysts, and they get caught in this position every year, or every time they need to train new first-year analysts. Q. So your view of Brittany being "at best, a 2 analyst," that is based on feedback	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or 16 disciplined in any way? 17 MR. BATTAGLIA: Objection. 18 A. Not that I know of. 19 Q. Did you speak with Matt Chin about 20 this? 21 A. I don't recall. It was a one
M. A. FEINSTEIN A. Yes. It is not wise, but they can. Q. Why is it not wise? A. Because it is a merry-go-round where after the third year you lose an analyst, if you only have three third-year analysts, they all leave, you have no one to train your new first-year analysts. So if you have one, two, three or something of that nature, as long as you always have a third year when a first year is coming in, you have someone to help train them. You always want to have at least one senior analyst, if you can do it. Some groups don't even have three analysts, and they get caught in this position every year, or every time they need to train new first-year analysts. Q. So your view of Brittany being "at	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or 16 disciplined in any way? 17 MR. BATTAGLIA: Objection. 18 A. Not that I know of. 19 Q. Did you speak with Matt Chin about 20 this?
M. A. FEINSTEIN A. Yes. It is not wise, but they can. Q. Why is it not wise? A. Because it is a merry-go-round where after the third year you lose an analyst, if you only have three third-year analysts, they all leave, you have no one to train your new first-year analysts. So if you have one, two, three or something of that nature, as long as you always have a third year when a first year is coming in, you have someone to help train them. You always want to have at least one senior analyst, if you can do it. Some groups don't even have three analysts, and they get caught in this position every year, or every time they need to train new first-year analysts. Q. So your view of Brittany being "at best, a 2 analyst," that is based on feedback	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or 16 disciplined in any way? 17 MR. BATTAGLIA: Objection. 18 A. Not that I know of. 19 Q. Did you speak with Matt Chin about 20 this? 21 A. I don't recall. It was a one
M. A. FEINSTEIN A. Yes. It is not wise, but they can. Q. Why is it not wise? A. Because it is a merry-go-round where after the third year you lose an analyst, if you only have three third-year analysts, they all leave, you have no one to train your new first-year analysts. So if you have one, two, three or something of that nature, as long as you always have a third year when a first year is coming in, you have someone to help train them. You always want to have at least one senior analyst, if you can do it. Some groups don't even have three analysts, and they get caught in this position every year, or every time they need to train new first-year analysts. Q. So your view of Brittany being "at best, a 2 analyst," that is based on feedback you received from bankers in her group? MR. BATTAGLIA: Objection.	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or 16 disciplined in any way? 17 MR. BATTAGLIA: Objection. 18 A. Not that I know of. 19 Q. Did you speak with Matt Chin about 20 this? 21 A. I don't recall. It was a one 22 little thing, the best as I recall. 23 Q. But it was big enough to be
M. A. FEINSTEIN A. Yes. It is not wise, but they can. Q. Why is it not wise? A. Because it is a merry-go-round where after the third year you lose an analyst, if you only have three third-year analysts, they all leave, you have no one to train your new first-year analysts. So if you have one, two, three or something of that nature, as long as you always have a third year when a first year is coming in, you have someone to help train them. You always want to have at least one senior analyst, if you can do it. Some groups don't even have three analysts, and they get caught in this position every year, or every time they need to train new first-year analysts. Q. So your view of Brittany being "at best, a 2 analyst," that is based on feedback you received from bankers in her group? MR. BATTAGLIA: Objection.	1 M. A. FEINSTEIN 2 Q. And do you recall what was said to 3 you? 4 A. No, I do not. I don't even 5 remember why he did it. 6 Q. Do you know who the person was who 7 he had an argument with? 8 A. No. 9 Q. Was it Mathilde McLean? 10 MR. BATTAGLIA: Objection. 11 A. I honestly don't recall. 12 Q. Do you know if he got in an 13 argument with a man or a woman? 14 A. No, I don't. 15 Q. Was Matt Chin counselled or 16 disciplined in any way? 17 MR. BATTAGLIA: Objection. 18 A. Not that I know of. 19 Q. Did you speak with Matt Chin about 20 this? 21 A. I don't recall. It was a one 22 little thing, the best as I recall. 23 Q. But it was big enough to be

